

TRADE

List provided for in Articles 12 and 13 of the Framework Agreement

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INTRODUCTION

When the legal acts referred to in this Annex contain notions or refer to procedures which are specific to the EU legal order, such as:

- recitals;
- the addressees of EU legal acts;
- references to territories or languages of the EU;
- references to rights and obligations of EU Member States, their public entities, undertakings or individuals in relation to each other; and
- references to information and notification procedures;

Framework Protocol 1 on horizontal adaptations shall apply, unless otherwise provided for in this Annex.

ACTS REFERRED TO

PART 1

LEGAL ACTS DIRECTLY APPLICABLE TO ANDORRA

CHAPTER 1

TRADE DEFENCE INSTRUMENTS

1. 32016 R 1036: Regulation (EU) 2016/1036 of the European Parliament and of the Council of 8 June 2016 on protection against dumped imports from countries not members of the European Union (OJ L 176, 30.6.2016, p. 21), as amended by:
 - 32017 R 2321: Regulation (EU) 2017/2321 of the European Parliament and of the Council of 12 December 2017 (OJ L 338, 19.12.2017, p. 1),
 - 32018 R 0825: Regulation (EU) 2018/825 of the European Parliament and of the Council of 30 May 2018 (OJ L 143, 7.6.2018, p. 1),
 - 32020 R 1173: Commission Delegated Regulation (EU) 2020/1173 of 4 June 2020 (OJ L 259, 10.8.2020, p. 1).

2. 32016 R 1037: Regulation (EU) 2016/1037 of the European Parliament and of the Council of 8 June 2016 on protection against subsidised imports from countries not members of the European Union (OJ L 176, 30.6.2016, p. 55), as amended by:
 - 32017 R 2321: Regulation (EU) 2017/2321 of the European Parliament and of the Council of 12 December 2017 (OJ L 338, 19.12.2017, p. 1),
 - 32018 R 0825: Regulation (EU) 2018/825 of the European Parliament and of the Council of 30 May 2018 (OJ L 143, 7.6.2018, p. 1),
 - 32020 R 1173: Commission Delegated Regulation (EU) 2020/1173 of 4 June 2020 (OJ L 259, 10.8.2020, p. 1).

3. 32016 R 1035: Regulation (EU) 2016/1035 of the European Parliament and of the Council of 8 June 2016 on protection against injurious pricing of vessels (OJ L 176, 30.6.2016, p. 1).

The provision of this Regulation shall, for the purposes of this Agreement, be read with the following adaptations:

Article 4 of Framework Protocol 1 to this Agreement shall apply.

CHAPTER 2

IMPORT / EXPORT COMMON RULES

1. 32015 R 0755: Regulation (EU) 2015/755 of the European Parliament and of the Council of 29 April 2015 on common rules for imports from certain third countries (OJ L 123, 19.5.2015, p. 33), as amended by:
 - 32017 R 0749: Commission Delegated Regulation (EU) 2017/749 of 24 February 2017 (OJ L 113, 29.4.2017, p. 11).
2. 32015 R 0478: Regulation (EU) 2015/478 of the European Parliament and of the Council of 11 March 2015 on common rules for imports (OJ L 83, 27.3.2015, p. 16).
3. 32018 R 0196: Regulation (EU) 2018/196 of the European Parliament and of the Council of 7 February 2018 on additional customs duties on imports of certain products originating in the United States of America (OJ L 044, 16.2.2018, p. 1), as amended by:
 - 32019 R 0673: Commission Delegated Regulation (EU) 2019/673 of 27 February 2019 (OJ L 114, 30.4.2019, p. 5),
 - 32020 R 0578: Commission Delegated Regulation (EU) 2020/578 of 21 February 2020 (OJ L 133, 28.4.2020, p. 1),
 - 32021 R 0704: Commission Delegated Regulation (EU) 2021/704 of 26 February 2021 (OJ L 146, 29.4.2021, p. 70),

- 32022 R 0682: Commission Delegated Regulation (EU) 2022/682 of 25 February 2022 (OJ L 126, 29.4.2022, p. 4).

CHAPTER 3

SAFEGUARD REGULATIONS

1. 32019 R 0287: Regulation (EU) 2019/287 of the European Parliament and of the Council of 13 February 2019 implementing bilateral safeguard clauses and other mechanisms allowing for the temporary withdrawal of preferences in certain trade agreements concluded between the European Union and third countries (OJ L 53, 22.2.2019, p. 1).
2. 32015 R 0477: Regulation (EU) 2015/477 of the European Parliament and of the Council of 11 March 2015 on measures that the Union may take in relation to the combined effect of anti-dumping or anti-subsidy measures with safeguard measures (OJ L 83, 27.3.2015, p. 11).
3. 32015 R 1145: Regulation (EU) 2015/1145 of the European Parliament and of the Council of 8 July 2015 on the safeguard measures provided for in the Agreement between the European Economic Community and the Swiss Confederation (OJ L 191, 17.7.2015, p. 1).
4. 32015 R 0475: Regulation (EU) 2015/475 of the European Parliament and of the Council of 11 March 2015 on the safeguard measures provided for in the Agreement between the European Economic Community and the Republic of Iceland (OJ L 83, 27.3.2015, p. 1).

5. 32015 R 0938: Regulation (EU) 2015/938 of the European Parliament and of the Council of 9 June 2015 on the safeguard measures provided for in the Agreement between the European Economic Community and the Kingdom of Norway (OJ L 160, 25.6.2015, p. 57).

6. 32013 R 0019: Regulation (EU) No 19/2013 of the European Parliament and of the Council of 15 January 2013 implementing the bilateral safeguard clause and the stabilisation mechanism for bananas of the Trade Agreement between the European Union and its Member States, of the one part, and Columbia, Peru and Ecuador, of the other part (OJ L 17, 19.1.2013, p. 1), as amended by:
 - 32017 R 0540: Regulation (EU) 2017/540 of the European Parliament and of the Council of 15 March 2017 (OJ L 88, 31.3.2017, p. 1).

7. 32013 R 0020: Regulation (EU) No 20/2013 of the European Parliament and of the Council of 15 January 2013 implementing the bilateral safeguard clause and the stabilisation mechanism for bananas of the Agreement establishing an Association between the European Union and its Member States, on the one hand, and Central America on the other (OJ L 17, 19.1.2013, p. 13), as amended by:
 - 32017 R 0540: Regulation (EU) 2017/540 of the European Parliament and of the Council of 15 March 2017 (OJ L 88, 31.3.2017, p. 1).

8. 32016 R 0400: Regulation (EU) No 2016/400 of the European Parliament and of the Council of 9 March 2016 implementing the anti-circumvention mechanism provided for in the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and the Republic of Moldova, of the other part (OJ L 77, 23.3.2016, p. 53).

9. 32016 R 0401: Regulation (EU) No 2016/401 of the European Parliament and of the Council of 9 March 2016 implementing the anti-circumvention mechanism provided for in the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Georgia, of the other part (OJ L 77, 23.3.2016, p. 62).

10. 32011 R 0511: Regulation (EU) No 511/2011 of the European Parliament and of the Council of 11 May 2011 implementing the bilateral safeguard clause of the Free Trade Agreement between the European Union and its Member States and the Republic of Korea (OJ L 145, 31.5.2011, p. 19).

CHAPTER 4

ENFORCEMENT

1. 32014 R 0654: Regulation (EU) No 654/2014 of the European Parliament and of the Council of 15 May 2014 concerning the exercise of the Union's rights for the application and enforcement of international trade rules and amending Council Regulation (EC) No 3286/94 laying down Community procedures in the field of the common commercial policy in order to ensure the exercise of the Community's rights under international trade rules, in particular those established under the auspices of the World Trade Organization (OJ L 189, 27.6.2014, p. 50), amended by:
 - 32015 R 1843: Regulation (EU) 2015/1843 of the European Parliament and of the Council of 6 October 2015 (OJ L 272, 16.10.2015, p. 1),
 - 32021 R 0167: Regulation (EU) 2021/167 of the European Parliament and of the Council of 10 February 2021 (OJ L 49, 12.02.2021, p. 1).
2. 32015 R 1843: Regulation (EU) 2015/1843 of the European Parliament and of the Council of 6 October 2015 laying down Union procedures in the field of the common commercial policy in order to ensure the exercise of the Union's rights under international trade rules, in particular those established under the auspices of the World Trade Organization (OJ L 272, 16.10.2015, p. 1).
3. 32015 R 0476: Regulation (EU) 2015/476 of the European Parliament and of the Council of 11 March 2015 on the measures that the Union may take following a report adopted by the WTO Dispute Settlement Body concerning anti-dumping and anti-subsidy matters (OJ L 83, 27.3.2015, p. 6).

CHAPTER 5

TRADE SECTOR-SPECIFIC RULES

1. 32015 R 0936: Regulation (EU) 2015/936 of the European Parliament and of the Council of 9 June 2015 on common rules for imports of textile products from certain third countries not covered by bilateral agreements, protocols or other arrangements, or by other specific Union import rules (OJ L 160, 25.6.2015, p. 1), as amended by:
 - 32017 R 0354: Regulation (EU) 2017/354 of the European Parliament and of the Council of 15 February 2017 (OJ L 57, 3.3.2017, p. 31),
 - 32018 R 0173: Commission Delegated Regulation (EU) 2018/173 of 29 November 2017 (OJ L 32, 6.2.2018, p. 12).

2. 32012 R 0498: Commission Implementing Regulation (EU) No 498/2012 of 12 June 2012 on the allocation of tariff-rate quotas applying to exports of wood from the Russian Federation to the European Union (OJ L 152, 13.6.2012, p. 28), as amended by:
 - 32014 R 0449: Commission Implementing Regulation (EU) No 449/2014 of 2 May 2014 (OJ L 132, 3.5.2014, p. 57),
 - 32015 R 0630: Commission Implementing Regulation (EU) 2015/630 of 22 April 2015 (OJ L 104, 23.4.2015, p. 8),

- 32016 R 0623: Commission Implementing Regulation (EU) 2016/623 of 21 April 2016 (OJ L 106, 22.4.2016, p. 11),
- 32021 R 0011: Commission Implementing Regulation (EU) 2021/11 of 7 January 2021 (OJ L 5, 8.1.2021, p. 1).

CHAPTER 6

TRADE PREFERENTIAL REGIMES

1. 32012 R 0978: Regulation (EU) No 978/2012 of the European Parliament and of the Council of 25 October 2012 applying a scheme of generalised tariff preferences and repealing Council Regulation (EC) No 732/2008 (OJ L 176, 30.6.2016, p. 21), as amended by:
 - 32013 R 1421: Commission Delegated Regulation (EU) No 1421/2013 of 30 October 2013 (OJ L 355, 31.12.2013, p. 1),
 - 32014 R 0001: Commission Delegated Regulation (EU) No 1/2014 of 28 August 2013 (OJ L 1, 4.1.2014, p. 1),
 - 32014 R 0182: Commission Delegated Regulation (EU) No 182/2014 of 17 December 2013 (OJ L 57, 27.2.2014, p. 1),
 - 32014 R 1015: Commission Delegated Regulation (EU) No 1015/2014 of 22 July 2014 (OJ L 283, 27.9.2014, p. 20),
 - 32014 R 1016: Commission Delegated Regulation (EU) No 1016/2014 of 22 July 2014 (OJ L 283, 27.9.2014, p. 23),
 - 32014 R 1386: Commission Delegated Regulation (EU) No 1386/2014 of 19 August 2014 (OJ L 369, 24.12.2014, p. 33),

- 32015 R 0602: Commission Delegated Regulation (EU) 2015/602 of 9 February 2015 (OJ L 100, 17.4.2015, p. 8),
- 32015 R 1978: Commission Delegated Regulation (EU) 2015/1978 of 28 August 2015 (OJ L 289, 5.11.2015, p. 1),
- 32015 R 1979: Commission Delegated Regulation (EU) 2015/1979 of 28 August 2015 (OJ L 289, 5.11.2015, p. 3),
- 32016 R 0079: Commission Delegated Regulation (EU) 2016/79 of 25 November 2015 (OJ L 17, 26.1.2016, p. 1),
- 32017 R 0217: Commission Delegated Regulation (EU) 2017/217 of 5 December 2016 (OJ L 34, 9.2.2017, p. 7),
- 32017 R 0836: Commission Delegated Regulation (EU) 2017/836 of 11 January 2017 (OJ L 125, 18.5.2017, p. 1),
- 32018 R 0148: Commission Delegated Regulation (EU) 2018/148 of 27 September 2017 (OJ L 26, 31.1.2018, p. 8),
- 32018 R 0216: Commission Delegated Regulation (EU) 2018/216 of 14 December 2017 (OJ L 42, 12.2.2018, p. 2),
- 32020 R 0128: Commission Delegated Regulation (EU) 2020/128 of 25 November 2019 (OJ L 27, 31.1.2020, p. 6),

- 32020 R 0129: Commission Delegated Regulation (EU) 2020/129 of 26 November 2019 (OJ L 27, 31.1.2020, p. 8),
 - 32020 R 0550: Commission Delegated Regulation (EU) 2020/550 of 12 February 2020 (OJ L 127, 22.4.2020, p. 1),
 - 32021 R 0114: Commission Delegated Regulation (EU) 2021/114 of 25 September 2020 (OJ L 36, 2.2.2021, p. 5),
 - 32021 R 0576: Commission Delegated Regulation (EU) 2021/576 of 30 November 2020 (OJ L 123, 9.4.2021, p. 1).
2. 32009 R 1215: Council Regulation (EC) No 1215/2009 of 30 November 2009 introducing exceptional trade measures for countries and territories participating in or linked to the European Union's Stabilisation and Association process (OJ L 328, 15.12.2009, p. 1) as amended by:
- 32011 R 1336: Regulation (EU) No 1336/2011 of the European Parliament and of the Council of 13 December 2011 (OJ L 347, 30.12.2011, p. 1),
 - 32013 R 1202: Regulation (EU) No 1202/2013 of the European Parliament and of the Council of 20 November 2013 (OJ L 321, 30.11.2013, p. 1),
 - 32015 R 2423: Regulation (EU) 2015/2423 of the European Parliament and of the Council of 16 December 2015 (OJ L 341, 24.12.2015, p. 18),

- 32017 R 1464: Commission Delegated Regulation (EU) 2017/1464 of 2 June 2017 (OJ L 209, 12.8.2017, p. 1),
- 32020 R 2172: Regulation (EU) 2020/2172 of the European Parliament and of the Council of 16 December 2020 (OJ L 432, 21.12.2020, p. 7).

CHAPTER 7

REGULATIONS APPLYING AGREEMENTS

1. 32015 R 0752: Regulation (EU) 2015/752 of the European Parliament and of the Council of 29 April 2015 on certain procedures for applying the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the Republic of Montenegro, of the other part (OJ L 123, 19.5.2015, p. 16).
2. 32015 R 0941: Regulation (EU) 2015/941 of the European Parliament and of the Council of 9 June 2015 on certain procedures for applying the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the former Yugoslav Republic of Macedonia, of the other part (OJ L 160, 25.6.2015, p. 76).
3. 32015 R 0940: Regulation (EU) 2015/940 of the European Parliament and of the Council of 9 June 2015 on certain procedures for applying the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and Bosnia and Herzegovina, of the other part, and for applying the Interim Agreement on trade and trade-related matters between the European Community, of the one part, and Bosnia and Herzegovina, of the other part (OJ L 160, 25.6.2015, p. 69).

4. 32015 R 0939: Regulation (EU) 2015/939 of the European Parliament and of the Council of 9 June 2015 on certain procedures for applying the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the Republic of Albania, of the other part (OJ L 160, 25.6.2015, p. 62).
5. 32014 R 0332: Regulation (EU) No 332/2014 of the European Parliament and of the Council of 11 March 2014 on certain procedures for applying the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the Republic of Serbia, of the other part (OJ L 103, 5.4.2014, p. 10).
6. 32017 R 0355: Regulation (EU) 2017/355 of the European Parliament and of the Council of 15 February 2017 on certain procedures for applying the Stabilisation and Association Agreement between the European Union and the European Atomic Energy Community, of the one part, and Kosovo* of the other part (OJ L 57, 3.3.2017, p. 59).
7. 32016 R 1076: Regulation (EU) 2016/1076 of the European Parliament and of the Council of 8 June 2016 applying the arrangements for products originating in certain states which are part of the African, Caribbean and Pacific (ACP) Group of States provided for in agreements establishing, or leading to the establishment of, economic partnership agreements (OJ L 185 8.07.2016, p. 1).

* This designation is without prejudice to positions on status, and is in line with UNSCR 1244(1999) and the ICJ Opinion on the Kosovo declaration of independence.

CHAPTER 8

COMMON RULES FOR EXPORTS

1. 32015 R 0479: Regulation (EU) 2015/479 of the European Parliament and of the Council of 11 March 2015 on common rules for exports (OJ L 83, 27.3.2015, p. 34).

PART II

LEGAL ACTS TO BE IMPLEMENTED BY ANDORRA

CHAPTER 1

EXPORT CREDIT

1. 32011 R 1233: Regulation (EU) No 1233/2011 of the European Parliament and of the Council of 16 November 2011 on the application of certain guidelines in the field of officially supported export credits and repealing Council Decisions 2001/76/EC and 2001/77/EC (OJ L 326, 8.12.2011, p. 45), as amended by:
 - 32013 R 0727: Commission Delegated Regulation (EU) No 727/2013 of 14 March 2013 (OJ L 207, 2.8.2013, p. 1),
 - 32016 R 0155: Commission Delegated Regulation (EU) 2016/155 of 29 September 2015 (OJ L 36, 11.2.2016, p. 1),
 - 32018 R 0179: Commission Delegated Regulation (EU) 2018/179 of 25 September 2017 (OJ L 37, 9.2.2018, p. 1),
 - 32023 R 0262: Commission Delegated Regulation (EU) 2023/262 of 7 September 2022 (OJ L 38, 8.2.2023, p. 1).

2. 31998 L 0029: Council Directive 98/29/EC of 7 May 1998 on harmonisation of the main provisions concerning export credit insurance for transactions with medium and long-term cover (OJ L 148, 19.5.1998, p. 22).
3. 32003 R 0806: Council Regulation (EC) No 806/2003 of 14 April 2003 adapting to Decision 1999/468/EC the provisions relating to committees which assist the Commission in the exercise of its implementing powers laid down in Council instruments adopted in accordance with the consultation procedure (qualified majority) (OJ L 122, 16.5.2003, p. 1).
4. 31984 L 0568: Council Directive 84/568/EEC of 27 November 1984 concerning the reciprocal obligations of export credit insurance organisations (OJ L 314, 4.12.1984, p. 24).
5. 31982 D 0854: 82/854/EEC: Council Decision of 10 December 1982 on the rules applicable, in the fields of export guarantees and finance for export, to certain subcontracts with parties in other Member States of the European Communities or in non-member countries (OJ L 357, 18.12.1982, p. 20).
6. 32006 D 0789: 2006/789/EC: Council Decision of 13 November 2006 on consultation and information procedures in matters of credit insurance, credit guarantees and financial credits (OJ L 319, 18.11.2006, p. 37).

CHAPTER 2

TRADE SECTOR-SPECIFIC RULES

1. 32006 R 0816: Regulation (EC) No 816/2006 of the European Parliament and of the Council of 17 May 2006 on compulsory licensing of patents relating to the manufacture of pharmaceutical products for export to countries with public health problems (OJ L 157, 9.6.2006, p. 1).
2. 32016 R 0793: Regulation (EU) 2016/793 of the European Parliament and of the Council of 11 May 2016 to avoid trade diversion into the European Union of certain key medicines (OJ L 135, 24.5.2016, p. 39).
3. 32007 R 1418: Commission Regulation (EC) No 1418/2007 of 29 November 2007 concerning the export for recovery of certain waste listed in Annex III or IIIA to Regulation (EC) No 1013/2006 of the European Parliament and of the Council to certain countries to which the OECD Decision on the control of transboundary movements of wastes does not apply (OJ L 316, 4.12.2007, p. 6), as amended by:
 - 32009 R 0967: Commission Regulation (EC) No 967/2009 of 15 October 2009 (OJ L 271, 16.10.2009, p. 12),
 - 32010 R 0837: Commission Regulation (EU) No 837/2010 of 23 September 2010 (OJ L 250, 24.9.10, p. 1),
 - 32011 R 0661: Commission Regulation (EU) No 661/2011 of 8 July 2011 (OJ L 181, 9.7.2011, p. 22),

- 32012 R 0674: Commission Regulation (EU) No 674/2012 of 23 July 2012 (OJ L 196, 24.7.2012, p. 12),
 - 32013 R 0057: Commission Regulation (EU) No 57/2013 of 23 January 2013 (OJ L 21, 24.1.2013, p. 17),
 - 32013 R 0519: Commission Regulation (EU) No 519/2013 of 21 February 2013 (OJ L 158, 10.6.2013, p. 74),
 - 32014 R 0733: Commission Regulation (EU) No 733/2014 of 24 June 2014 (OJ L 197, 4.7.2014, p. 10),
 - 32021 R 1840: Commission Regulation (EU) 2021/1840 of 20 October 2021 (OJ L 373, 21.10.2021, p. 1),
 - 32022 R 0520: Commission Regulation (EU) 2022/520 of 31 March 2022 (OJ L 104, 1.4.2022, p. 63).
4. 02021 R 0821: Regulation (EU) 2021/821 of the European Parliament and of the Council of 20 May 2021 setting up a Union regime for the control of exports, brokering, technical assistance, transit and transfer of dual-use items (recast) (OJ L 206, 11.6.2021, p. 1), as amended by:
- 32022 R 0001: Commission Delegated Regulation (EU) 2022/1 of 20 October 2021 (OJ L 3, 6.1.2022, p. 1),
 - 32022 R 0699: Commission Delegated Regulation (EU) 2022/699 of 3 May 2022 (OJ L 130I, 4.5.2022, p. 1),

- 32023 R 0066: Commission Delegated Regulation (EU) 2023/66 of 21 October 2022 (OJ L 9, 11.1.2023, p. 1).

- 5. 32009 R 0116: Council Regulation (EC) No 116/2009 of 18 December 2008 on the export of cultural goods (OJ L 39, 10.2.2009, p. 1).

- 6. 32012 R 1081: Commission Implementing Regulation (EU) No 1081/2012 of 9 November 2012 for the purposes of Council Regulation (EC) No 116/2009 on the export of cultural goods (OJ L 324, 22.11.2012, p. 1).

- 7. 32019 R 0880: Regulation (EU) 2019/880 of the European Parliament and of the Council of 17 April 2019 on the introduction and the import of cultural goods (OJ L 151, 7.6.2019, p. 1).

- 8. 32021 R 1079: Commission Implementing Regulation (EU) 2021/1079 of 24 June 2021 laying down detailed rules for implementing certain provisions of Regulation (EU) 2019/880 of the European Parliament and of the Council on the introduction and the import of cultural goods (OJ L 234, 2.7.2021, p. 67).

- 9. 32017 R 0821: Regulation (EU) 2017/821 of the European Parliament and of the Council of 17 May 2017 laying down supply chain due diligence obligations for Union importers of tin, tantalum and tungsten, their ores, and gold originating from conflict-affected and high-risk areas (OJ L 130, 19.5.2017, p. 1), as amended by:
 - 32020 R 1588: Commission Delegated Regulation (EU) 2020/1588 of 25 June 2020 (OJ L 360, 30.10.2020, p. 1).